SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 05

1. Name and Addres	1 0		2. Issuer Name and Ticker or Trading Symbol <u>AUTODESK INC</u> [ADSK]		ionship of Reporting Perso all applicable) Director	on(s) to Issuer 10% Owner				
(Last) 111 MCINNIS P	(First) PKWY	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/28/2017		Officer (give title below)	Other (specify below)				
			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable					
(Street) SAN RAFAEL	СА	94903		Line) X	Form filed by One Repor Form filed by More than Person	5				
(City)	(State)	(Zip)			Feison					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	09/28/2017		М		25,000	Α	\$43.81	46,492 ⁽¹⁾	D	
Common Stock	09/28/2017		S ⁽²⁾		24,104	D	\$111.0956 ⁽³⁾	22,388 ⁽¹⁾	D	
Common Stock	09/28/2017		S ⁽²⁾		896	D	\$111.7418 ⁽⁴⁾	21,492 ⁽¹⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Seci Acq (A) o Disp of (E	umber vative urities uired or oosed)) (Instr. and 5)	6. Date Exercia Expiration Dat (Month/Day/Ye	te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Options (right to buy)	\$ 43.81	09/28/2017		М			25,000	03/24/2012 ⁽⁵⁾	03/24/2018	Common Stock	25,000	\$0.00	0	D	

Explanation of Responses:

1. The total securities beneficially owned includes 3,201 shares of unvested Restricted Stock Units.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on 6/30/2017.

3. Shares were sold in various amounts from \$110.56 to \$111.55 inclusive. The price listed here reflects the average weighted price.

4. Shares were sold in various amounts from \$111.59 to \$111.95 inclusive. The price listed here reflects the average weighted price.

5. The option vests over a three-year period beginning on 3/24/2011, at the rate of 17,000 shares on the first anniversary, and 16,500 shares on the second and third anniversaries.

Remarks:

Andy R. Sewell, Attorney in Fact for Lorrie Norrington

09/29/2017

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.