SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Blum Steven M | | | 2. Issuer Name and Ticker or Trading Symbol Autodesk, Inc. [ADSK] | | tionship of Reporting Pe all applicable) Director | 10% Owner | | | | | |
|---|--|-------|---|-------------------|---|--|--|--|--|--|--|
| (Last) 111 MCINNIS | (First) (Middle) PKWY | | 3. Date of Earliest Transaction (Month/Day/Year) 06/18/2020 | X | Officer (give title below) SVP, WW Field (| Other (specify below) Operations | | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) | idual or Joint/Group Filir | ng (Check Applicable | | | | | |
| SAN RAFAEL | CA | 94903 | | X | Form filed by One Rep | oorting Person | | | | | |
| (City) | (State) | (Zip) | | | Form filed by More that Person | an One Reporting | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--|---|---|---|--------|---------------|-------------------------|---|---|---|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150. 4) | |
| Common Stock | 06/18/2020 | | S ⁽¹⁾ | | 2,858 | D | \$237.02 ⁽²⁾ | 49,150 ⁽³⁾ | Ι | Family Trust ⁽⁴⁾ | |
| Common Stock | 06/18/2020 | | S ⁽¹⁾ | | 3,760 | D | \$237.91 ⁽⁵⁾ | 45,390 ⁽³⁾ | Ι | Family Trust ⁽⁴⁾ | |
| Common Stock | 06/18/2020 | | S ⁽¹⁾ | | 872 | D | \$238.77 ⁽⁶⁾ | 44,518 ⁽³⁾ | Ι | Family Trust ⁽⁴⁾ | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | - | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|---|---------------------------|--|--------------------|-------------------------|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) o Disp of (D | r osed) r. 3, 4 | Expiration Date (Month/Day/Year) eed 3, 4 | | Amount of Securities | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on 03/20/2020.

2. Shares were sold in various amounts from \$236.46 to \$237.43 inclusive. The price listed here reflects the average weighted price.

3. The total securities beneficially owned includes 20,537 shares of unvested Restricted Stock Units.

4. The shares are held by the BLUM FAM DECL. TR U/A/D 4/20/06 (the "Family Trust"). The reporting person is a trustee of the Family Trust. The reporting person disclaims beneficial ownership of the shares held by the Family Trust except to the extent of his proportionate pecuniary interest therein.

5. Shares were sold in various amounts from \$237.46 to \$238.46 inclusive. The price listed here reflects the average weighted price.

6. Shares were sold in various amounts from \$238.49 to \$239.22 inclusive. The price listed here reflects the average weighted price.

Remarks:

Pascal W. Di Fronzo, Attorney-in-Fact for Steven

<u>Blum</u>

06/22/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.